This Section uses the term "Architect." Change this term to match that used to identify the design professional as defined in the General and Supplementary Conditions.

Verify that Section titles referenced in this Section are correct for this Project's Specifications; Section titles may have changed.

PART 1 - GENERAL

1.01 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

Documents referenced in paragraph below should be prepared during schematic design phase or earlier and updated as design progresses. They should be provided to Contractor when commissioning is used on Project.

B. OPR and BoD documentation prepared by Owner and Architect contains requirements that apply to this Section.

1.02 SUMMARY

C. This Section includes general requirements that apply to implementation of commissioning without regard to systems, subsystems, and equipment being commissioned.

D. Related Sections include the following:

List below only requirements that the reader might expect to find in this Section but are specified elsewhere.

1. Division 1 Section "HVAC Commissioning Requirements" for specific requirements for commissioning HVAC systems.

1.2 DEFINITIONS

Retain acronyms, abbreviations, and terms below that remain after this Section has been edited.

A. BoD: Basis of Design.
B. CxA: Commissioning Authority.

C. OPR: Owner's Project Requirements.

D. Systems, Subsystems, and Equipment: Where these terms are used together or separately, they shall mean "as-built" systems, subsystems, and equipment.

E. TAB: Testing, Adjusting, and Balancing.

1.3 COMMISSIONING TEAM

A. Members Appointed by Contractor(s): Individuals, each having authority to act on behalf of the entity he or she represents, explicitly organized to implement the commissioning process through coordinated actions. The commissioning team shall consist of, but not be limited to, representatives of each Contractor, including Project superintendent and subcontractors, installers, suppliers, and specialists deemed appropriate by the CxA.

B. Members Appointed by Owner:

1. CxA: The designated person, company, or entity that plans, schedules, and coordinates the commissioning team to implement the commissioning process. Owner will engage the CxA under a separate contract.
2. Representatives of the facility user and operation and maintenance personnel.
3. Architect and engineering design professionals.

1.4 OWNER'S RESPONSIBILITIES

A. Provide the OPR documentation to the CxA and each Contractor for use in developing the commissioning plan; systems manual; operation and maintenance training plan; and testing plans and checklists.

B. Assign operation and maintenance personnel and schedule them to participate in commissioning team activities including, but not limited to, the following:

1. Coordination meetings.
2. Training in operation and maintenance of systems, subsystems, and equipment.
3. Testing meetings.
4. Demonstration of operation of systems, subsystems, and equipment.

Retain paragraph below if services will be provided by Owner

C. Provide utility services required for the commissioning process.

Coordinate activities specified in paragraph below with Owner-Architect and Architect-Consultant agreements.
D. Provide the BoD documents, prepared by Architect and approved by Owner, to the CxA and [each] Contractor for use in developing the commissioning plan, systems manual, and operation and maintenance training plan.

1.5 CONTRACTOR'S RESPONSIBILITIES

Coordinate this Article with requirements specified in Division 1 Section "Summary of Multiple Contracts" for Project Coordinator's responsibilities.

Retain first paragraph below or third paragraph in "Owner's Responsibilities" Article.

A. Provide utility services required for the commissioning process.

B. [Each] Contractor shall assign representatives with expertise and authority to act on behalf of the Contractor and schedule them to participate in and perform commissioning team activities including, but not limited to, the following:

1. Participate in design- and construction-phase coordination meetings.
2. Participate in maintenance orientation and inspection.
3. Participate in operation and maintenance training sessions.
4. Participate in final review at acceptance meeting.
5. Certify that Work is complete and systems are operational according to the Contract Documents, including calibration of instrumentation and controls.
6. Evaluate performance deficiencies identified in test reports and, in collaboration with entity responsible for system and equipment installation, recommend corrective action.
7. Review and approve final commissioning documentation.

C. Subcontractors shall assign representatives with expertise and authority to act on behalf of subcontractors and schedule them to participate in and perform commissioning team activities including, but not limited to, the following:

1. Participate in design- and construction-phase coordination meetings.
2. Participate in maintenance orientation and inspection.
3. Participate in procedures meeting for testing.
4. Participate in final review at acceptance meeting.
5. Provide schedule for operation and maintenance data submittals, equipment startup, and testing to CxA for incorporation into the commissioning plan. Update schedule on a weekly basis throughout the construction period.
6. Provide information to the CxA for developing construction-phase commissioning plan.
7. Participate in training sessions for Owner's operation and maintenance personnel.
8. Provide updated Project Record Documents to the CxA on a daily basis.
9. Gather and submit operation and maintenance data for systems, subsystems, and equipment to the CxA, as specified in Division 1 Section "Operation and Maintenance Data."
10. Provide technicians who are familiar with the construction and operation of installed systems and who shall develop specific test procedures and participate in testing of installed systems, subsystems, and equipment.

1.6 CxA'S RESPONSIBILITIES

A. Organize and lead the commissioning team.

B. Prepare a construction-phase commissioning plan. Collaborate with [each] Contractor and with subcontractors to develop test and inspection procedures. Include design changes and scheduled commissioning activities coordinated with overall Project schedule. Identify commissioning team member responsibilities, by name, firm, and trade specialty, for performance of each commissioning task.

C. Review and comment on submittals from [each] Contractor for compliance with the OPR, BoD, Contract Documents, and construction-phase commissioning plan. Review and comment on performance expectations of systems and equipment and interfaces between systems relating to the OPR and BoD.

D. Convene commissioning team meetings for the purpose of coordination, communication, and conflict resolution; discuss progress of the commissioning processes. Responsibilities include arranging for facilities, preparing agenda and attendance lists, and notifying participants. The CxA shall prepare and distribute minutes to commissioning team members and attendees within [five] <Insert number> workdays of the commissioning meeting.

E. At the beginning of the construction phase, conduct an initial construction-phase coordination meeting for the purpose of reviewing the commissioning activities and establishing tentative schedules for operation and maintenance submittals; operation and maintenance training sessions; TAB Work; and Project completion.

F. Observe and inspect construction and report progress and deficiencies. In addition to compliance with the OPR, BoD, and Contract Documents, inspect systems and equipment installation for adequate accessibility for maintenance and component replacement or repair.

G. Prepare Project-specific test and inspection procedures and checklists.

H. Schedule, direct, witness, and document tests, inspections, and systems startup.

I. Compile test data, inspection reports, and certificates and include them in the systems manual and commissioning report.

J. Certify date of acceptance and startup for each item of equipment for start of warranty periods.

K. Review Project Record Documents for accuracy. Request revisions from Contractor to
achieve accuracy. Project Record Documents requirements are specified in Division 1 Section "Project Record Documents."

L. Review and comment on operation and maintenance documentation and systems manual outline for compliance with the OPR, BoD, and Contract Documents. Operation and maintenance documentation requirements are specified in Division 1 Section "Operation and Maintenance Data."

M. Prepare operation and maintenance training program and provide qualified instructors to conduct operation and maintenance training. Operation and maintenance training is specified in Division 1 Section "Demonstration and Training."

Verify that video taping in first two paragraphs below is required for this Project.

N. Videotape and edit training sessions.

O. Videotape construction progress including hidden shafts.

P. Prepare commissioning reports.

Q. Assemble the final commissioning documentation, including the commissioning report and Project Record Documents.

1.7 COMMISSIONING DOCUMENTATION

A. Index of Commissioning Documents: CxA shall prepare an index to include storage location of each document.

B. OPR: A written document, prepared by Owner, which details the functional requirements of Project and expectations of how it will be used and operated. This document includes Project and design goals, measurable performance criteria, budgets, schedules, success criteria, and supporting information.

C. BoD Document: A document, prepared by Architect, that records concepts, calculations, decisions, and product selections used to meet the OPR and to satisfy applicable regulatory requirements, standards, and guidelines. The document includes both narrative descriptions and lists of individual items that support the design process.

D. Commissioning Plan: A document, prepared by CxA, that outlines the schedule, allocation of resources, and documentation requirements of the commissioning process, and shall include, but is not limited to the following:

1. Plan for delivery and review of submittals, systems manuals, and other documents and reports. Identification of the relationship of these documents to other functions and a detailed description of submittals that are required to support the commissioning processes. Submittal dates shall include the latest date approved submittals must be received without adversely affecting commissioning plan.
2. Description of the organization, layout, and content of commissioning documentation (including systems manual) and a detailed description of documents to be provided along with identification of responsible parties.

3. Identification of systems and equipment to be commissioned.

4. Description of schedules for testing procedures along with identification of parties involved in performing and verifying tests.

5. Identification of items that must be completed before the next operation can proceed.

6. Description of responsibilities of commissioning team members.

7. Description of observations to be made.

8. Description of requirements for operation and maintenance training, including required training materials.

9. Description of expected performance for systems, subsystems, equipment, and controls.

10. Schedule for commissioning activities with specific dates coordinated with overall construction schedule.

11. Identification of installed systems, subsystems, and equipment, including design changes that occurred during the construction phase.


13. Process and schedule for completing prestart and startup checklists for systems, subsystems, and equipment to be verified and tested.

14. Step-by-step procedures for testing systems, subsystems, and equipment with descriptions for methods of verifying relevant data, recording the results obtained, and listing parties involved in performing and verifying tests.

E. Test Checklists: CxA[, with assistance of Architect,] shall develop test checklists for each system, subsystem, or equipment including interfaces and interlocks, and include a separate entry, with space for comments, for each item to be tested. Prepare separate checklists for each mode of operation and provide space to indicate whether the mode under test responded as required. Provide space for testing personnel to sign off on each checklist. Specific checklist content requirements are specified in Division 1 Section "HVAC Commissioning Requirements." Each checklist, regardless of system, subsystem, or equipment being tested, shall include, but not be limited to, the following:

1. Name and identification code of tested item.
2. Test number.
3. Time and date of test.
4. Indication of whether the record is for a first test or retest following correction of a problem or issue.
5. Dated signatures of the person performing test and of the witness, if applicable.
6. Individuals present for test.
7. Deficiencies.
8. Issue number, if any, generated as the result of test.

F. Certificate of Readiness: Certificate of Readiness shall be signed by [each ]Contractor, Subcontractor(s), Installer(s), and CxA certifying that systems, subsystems, equipment,
and associated controls are ready for testing. Completed test checklists signed by the responsible parties shall accompany this certificate.

G. Test and Inspection Reports: CxA shall record test data, observations, and measurements on test checklists. Photographs, forms, and other means appropriate for the application shall be included with data. CxA shall compile test and inspection reports and test and inspection certificates and include them in systems manual and commissioning report.

H. Corrective Action Documents: CxA shall document corrective action taken for systems and equipment that fail tests. Include required modifications to systems and equipment and revisions to test procedures, if any. Retest systems and equipment requiring corrective action and document retest results.

I. Issues Log: CxA shall prepare and maintain an issues log that describes design, installation, and performance issues that are at variance with the OPR, BoD, and Contract Documents. Identify and track issues as they are encountered, documenting the status of unresolved and resolved issues.

1. Creating an Issues Log Entry:
   a. Identify the issue with unique numeric or alphanumeric identifier by which the issue may be tracked.
   b. Assign a descriptive title of the issue.
   c. Identify date and time of the issue.
   d. Identify test number of test being performed at the time of the observation, if applicable, for cross-reference.
   e. Identify system, subsystem, and equipment to which the issue applies.
   f. Identify location of system, subsystem, and equipment.
   g. Include information that may be helpful in diagnosing or evaluating the issue.
   h. Note recommended corrective action.
   i. Identify commissioning team member responsible for corrective action.
   j. Identify expected date of correction.
   k. Identify person documenting the issue.

2. Documenting Issue Resolution:
   a. Log date correction is completed or the issue is resolved.
   b. Describe corrective action or resolution taken. Include description of diagnostic steps taken to determine root cause of the issue, if any.
   c. Identify changes to the OPR, BoD, or Contract Documents that may require action.
   d. State that correction was completed and system, subsystem, and equipment is ready for retest, if applicable.
   e. Identify person(s) who corrected or resolved the issue.
   f. Identify person(s) documenting the issue resolution.
3. Issues Log Report: On a periodic basis, but not less than for each commissioning team meeting, CxA shall prepare a written narrative for review of outstanding issues and a status update of the issues log. As a minimum, CxA shall include the following information in the issues log and expand it in the narrative:

   a. Issue number and title.
   b. Date of the identification of the issue.
   c. Name of the commissioning team member assigned responsibility for resolution.
   d. Expected date of correction.

J. Commissioning Report: CxA shall document results of the commissioning process including unresolved issues and performance of systems, subsystems, and equipment. The commissioning report shall indicate whether systems, subsystems, and equipment have been completed and are performing according to the OPR, BoD, and Contract Documents. The commissioning report shall include, but is not limited to, the following:

   1. Lists and explanations of substitutions; compromises; variances in the OPR, BoD, and Contract Documents; record of conditions; and, if appropriate, recommendations for resolution. This report shall be used to evaluate systems, subsystems, and equipment and shall serve as a future reference document during Owner occupancy and operation. It shall describe components and performance that exceed requirements of the OPR, BoD, and Contract Documents and those that do not meet requirements of the OPR, BoD, and Contract Documents. It may also include a recommendation for accepting or rejecting systems, subsystems, and equipment.
   2. OPR and BoD documentation.
   3. Commissioning plan.
   4. Testing plans and reports.
   5. Corrective modification documentation.
   6. Issues log.
   7. Completed test checklists.
   8. Listing of off-season test(s) not performed and a schedule for their completion.

K. Systems Manual: CxA shall gather required information and compile systems manual. Systems manual shall include, but is not limited to, the following:

   1. OPR and BoD, including system narratives, schematics, and changes made throughout the Project.
   2. Project Record Documents as specified in Division 1 Section "Project Record Documents."
   3. Final commissioning plan.
   5. Operation and maintenance data as specified in Division 1 Section "Operation and Maintenance Data."
1.8 SUBMITTALS

A. Commissioning Plan Prefinal Submittal: CxA shall submit [two] <Insert number> hard copies of prefinal commissioning plan. Deliver one copy to [each] Contractor, one to Owner, and one to Architect. Present submittal in sufficient detail to evaluate data collection and arrangement process. One copy, with review comments, will be returned to the CxA for preparation of the final construction-phase commissioning plan.

B. Commissioning Plan Final Submittal: CxA shall submit [two] <Insert number> hard copies and two sets of electronically formatted information of final commissioning plan. Deliver one hard copy and one set of discs to Owner, and one copy to Architect. The final submittal must address previous review comments. The final submittal shall include a copy of the prefinal submittal review comments along with a response to each item.

C. Test Checklists and Report Forms: CxA shall submit sample checklists and forms to [each] Contractor quality-control manager and subcontractors for review and comment. Submit [two] <Insert number> copies of each checklist and report form.

D. Certificates of Readiness: CxA shall submit Certificates of Readiness.

E. Test and Inspection Reports: CxA shall submit test and inspection reports.

F. Corrective Action Documents: CxA shall submit corrective action documents.

G. Prefinal Commissioning Report Submittal: CxA shall submit [two] <Insert number> hard copies of the prefinal commissioning report. Include a copy of the preliminary submittal review comments along with CxA's response to each item. CxA shall deliver one copy to Owner and one copy to Architect. One copy, with review comments, will be returned to the CxA for preparation of final submittal.

H. Final Commissioning Report Submittal: CxA shall submit [two] <Insert number> hard copies and [two] <Insert number> sets of electronically formatted information of the final commissioning report. CxA shall deliver one hard copy and one set of discs to Owner, and one copy to Architect. The final submittal must address previous review comments and shall include a copy of the prefinal submittal review comments along with a response to each item.

1.9 QUALITY ASSURANCE

A. Instructor Qualifications: Factory-authorized service representatives, experienced in training, operation, and maintenance procedures for installed systems, subsystems, and equipment.

B. Test Equipment Calibration: Comply with test equipment manufacturer's calibration
procedures and intervals. Recalibrate test instruments immediately whenever instruments have been repaired following damage or dropping. Affix calibration tags to test instruments. Instruments shall have been calibrated within six months prior to use.

1.10 COORDINATION

A. Coordinating Meetings: CxA shall conduct [weekly] [biweekly] [monthly] <Insert frequency> coordination meetings of the commissioning team to review progress on the commissioning plan, to discuss scheduling conflicts, and to discuss upcoming commissioning process activities.

B. Pretesting Meetings: CxA shall conduct pretest meetings of the commissioning team to review startup reports, pretest inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers’ authorized service representative services for each system, subsystem, equipment, and component to be tested.

C. Testing Coordination: CxA shall coordinate sequence of testing activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.

D. Manufacturers' Field Services: CxA shall coordinate services of manufacturers' field services.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 OPERATION AND MAINTENANCE TRAINING REQUIREMENTS

A. Training Preparation Conference: Before operation and maintenance training, CxA shall convene a training preparation conference to include Owner's operation and maintenance personnel, [each] Contractor, and subcontractors. In addition to requirements specified in Division 1 Section "Demonstration and Training," perform the following:

1. Review the OPR and BoD.
2. Review installed systems, subsystems, and equipment.
3. Review instructor qualifications.
4. Review instructional methods and procedures.
5. Review training module outlines and contents.
6. Review course materials (including operation and maintenance manuals).
7. Inspect and discuss locations and other facilities required for instruction.
8. Review and finalize training schedule and verify availability of educational materials, instructors, audiovisual equipment, and facilities needed to avoid delays.
9. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.

B. Training Modules: Develop an instruction program that includes individual training modules for each system, subsystem, and equipment as specified in Division 1 Section "Demonstration and Training."

END OF SECTION